

Provider and Representative disclosures in Terms of the Financial Advisory and Intermediary Services (FAIS) Act

As a client you have the right to the following information:



1. Your Financial Services Provider, Finance In-Motion (Pty) Ltd

Name	Finance In-Motion (Pty) Ltd
Physical Address	24 Kays Avenue, Vandia Grove, Bryanston, 2194
Postal Address	PO Box 694, Paulshof, 2056
Tel	(011) 462 1979
Fax	(011) 462 7147
Email	info@fim.co.za
Web	http://www.fim.co.za

2. Legal Status of Provider

Finance In-Motion (Pty) Ltd is a private company, which was established in 2004. As a licensed Financial Services Provider in terms of the FAIS Act, Finance In-Motion accepts responsibility for the actions of its representatives acting within their mandates, in rendering services as defined by FAIS. Our representatives meet the fit and proper requirements as prescribed by FAIS, to assist you in a professional manner with your financial requirements.

3. Details of Representative

Name	Bradley McMahon
Office Address	24 Kays Avenue, Vandia Grove, Bryanston, 2194
Postal Address	PO Box 694, Paulshof, 2056
Tel	(011) 462 1979
Fax	(011) 462 7147
Email	info@fim.co.za

4. Legal Status of Representative

- **Finance In-Motion** confirms that its representatives are mandated and entitled to render financial advice and/or intermediary services to you in terms of the FAIS act.
- **Finance In-Motion** representatives are required to have Professional Indemnity Insurance.

5. Finance In-Motion compliance and complaints department

If you do have a complaint or a compliance related query, please do not hesitate to contact our compliance officer, Leonardo d'Onfrio, telephone number (011) 880-3593 or facsimile number (011) 880-3174, or email leonardo@oraclelegalservices.com, who will be able to assist you in this regard.

6. FAIS Ombud

Should a complaint not be resolved to your satisfaction, you may forward such a complaint to the office of the Ombud for Financial Service Providers.

Name	Mr Charles Pillay
Contact	(012) 428-8000
Facsimile	(012) 347-0221
Toll Free	0800 110 443
Address	The Financial Services Board, PO Box 35655, Menlo Park, 0102

7. Other Matters of Importance

- 7.1. Do not sign any blank or partially completed application form
- 7.2. Complete all forms in ink
- 7.3. Keep all documents handed to you
- 7.4. In terms of the Financial Intelligence Centre Act, Finance In-Motion is obliged to report any suspicious and unusual transactions that may facilitate money laundering
- 7.5. It is important that you are absolutely sure that the product or transaction meets your needs and that you feel you have all the information you need before making a decision.

8. Financial Services Products

As an authorised Financial Services Provider, Finance In-Motion has been licensed by the Financial Services Board in terms of the FAIS Act, to render advice and intermediary services in respect of the following financial products:

- Long-term insurance: Category A,B,C
- Short Term Insurance: Personal and Commercial Lines
- Pension Fund Benefits
- Participatory interest in one or more collective investment scheme

Finance In-Motion representatives are therefore mandated to render services on **Finance In-Motion's** behalf, in respect of these classes of financial products, provided by: Liberty Life, Auto and General Insurance Company Limited, Mutual and Federal Insurance Company Limited, SA Eagle Insurance Company Limited, Santam Insurance Company Limited, Regent Insurance Company Limited, Avbob Mutual Assurance Society, Wheels Underwriting Manager (Pty) Ltd, Cellular Insurance Managers (Pty) Limited, MUA Insurance Company Limited, Associated Marine Underwriters (Pty) Ltd, EPC Underwriting Managers (Pty) Ltd, Early Works 159 (Pty) Ltd, AIG South Africa Insurance Company Limited

9. Client Confirmation

I confirm I have read this document and have received a copy of this notice.

Client Name _____ Signature _____ Date _____

Finance In-Motion

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DIRECTORS: B.G.McMahon, Y.G.W. Penberthy